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1 Objective

The objective of this Policy is to:

Establish the framework for research ethics to ensure that all University research activities are conducted ethically and conform to accepted ethical standards.

2 Scope

This policy applies to all staff, students, visiting fellows and scholars, and persons holding discretionary titles of the University of Tasmania, who are involved in the conduct of research associated with the University.

3 Policy Provisions

3.1 Compliance with Legislation, Guidelines and Codes

The University will comply with relevant legislation, guidelines and codes relating to ethical practices in research, including but not limited to:

- the National Statement on Ethical Conduct in Human Research (2007)
- the Values and Ethics: Guidelines for Ethical Conduct in Aboriginal and Torres Strait Islander Health Research (2003)
- the Tasmanian Animal Welfare Act 1993

If a provision of this Policy is inconsistent with an Act or code, the provision of the legislation or code prevails.

3.2 Ethics Committees

The University will operate ethics committees including the:

- Social Science Human Research Ethics Committee to approve and monitor social science research
- Health and Medical Human Research Ethics Committee to approve and monitor health and medical research
- Animal Ethics Committee to approve and monitor teaching and research activities involving experimentation with animals.

3.3 Ethics Approval Requirements

Research activities involving human participants (including the use of their data or tissue) or research and teaching activities involving animals must be approved and monitored by the relevant committee.
Researchers are responsible for submitting applications for ethical approval in accordance with the procedures or guidelines specified by the relevant committee.

4 Responsibilities

The University is required to:

- provide induction, formal training and continuing education to researchers in research ethics
- ensure that those conducting research are either adequately experienced and qualified, or supervised.

Researchers are required to:

- ensure that they are adequately trained and qualified, or supervised, to undertake the activities related to their research
- conduct research ethically
- obtain ethical approval from the relevant committee when required and maintain evidence of such approval
- comply with the conditions of approval as stated on the relevant ethical approval letter
- notify the relevant head of academic Organisational Unit and ethics committee, when applicable, if any adverse events are observed
- ensure records and information supporting the activities of this policy are created, managed and retained in accordance with the University of Tasmania Records Management Policy, Procedures and Guidelines.

5 Definitions and Acronyms

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<thead>
<tr>
<th>Term/Acronym</th>
<th>Definition</th>
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<tr>
<td>Organisational Unit</td>
<td>College, Faculty, School, Centre, University Institute, other University Entity, Division, Section or University Business Enterprise.</td>
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6 Supporting Documentation

- Australian Code of Practice for the Care and Use of Animals for Scientific Purposes (National Health and Medical Research Council, Commonwealth Scientific and Industrial Research Organisation, Australian Research Council, Australian Vice-Chancellors’ Committee, 8th Edition, 2013)
- Australian Code for the Responsible Conduct of Research (National Health and Medical Research Council, Australian Research Council, Universities Australia, 2007)
- Guidelines under Section 95 of the Privacy Act 1988 (Cwlth)
- Guidelines approved under Section 95A of the Privacy Act 1988 (Cwlth)
- National Statement on Ethical Conduct in Human Research (National Health and Medical Research Council, Australian Research Council, Australian Vice-Chancellors’ Committee, 2007)
• Note for Guidance on Good Clinical Practices (CPMP/ICH/135/95)
• Statement on Consumer and Community Participation in Health and Medical Research (National Health and Medical Research Council, Consumers’ Health Forum of Australia, 2002)
• Values and Ethics: Guidelines for Ethical Conduct in Aboriginal and Torres Strait Islander Health Research (National Health and Medical Research Council, 2003)
• Responsible Conduct of Research Policy
• Management of Research Data Policy and Procedure
• Records Management Policy
• Authorship of Research Policy and Procedure
• Collaborative Research Policy
• Managing Allegations of Research Misconduct Procedure

7 Versioning

<table>
<thead>
<tr>
<th>Former Version(s)</th>
<th>Version 1 – Research Ethics Policy; approved December, 2011; amended 2013</th>
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<tr>
<td>Current Version</td>
<td>Version 2 - Research Ethics Policy; approved August 2015, amended in December 2016 to incorporate Colleges.</td>
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