

# Compliance Policy

Version 1 – Reconfirmed 23 September 2022

## Purpose:

This policy outlines key governance principles that strengthen University structures to support good leadership and decision making and ensures sound and effective governance.

|          |   | Responsible  |
|----------|---|--|
| <b>1</b> | <b>Compliance</b>   |  |
| 1.1      | The University will be transparent and proportionate in the approach to compliance, with focus on higher risk areas of non-compliance and guided by the University's appetite for risk to improve the overall governance of the University.   | Deputy Vice-Chancellor (Student Services and Operations) |
| 1.2      | Compliance owners will manage, monitor and report on compliance obligations ensuring controls are in place to manage legal obligations that have risks associated with non-compliance.  | Deputy Vice-Chancellor (Student Services and Operations) |
| 1.3      | Performance of the compliance program will be monitored, measured and reported as an integral part of the reporting to the relevant governance and senior executive bodies.   | Deputy Vice-Chancellor (Student Services and Operations) |
| <b>2</b> | <b>Conflict of interest</b>   |  |
| 2.1      | Responsible persons will ensure conflicts or potential conflicts of interest are identified, disclosed, monitored and effectively managed.  | Deputy Vice-Chancellor (Student Services and Operations) |
| 2.2      | Responsible persons will make declarations at least annually and at other times where there is a material change in private interests that may give rise to an actual, potential, or perceived conflicts of interest and manage the conflicts of interest arising from those interests. | Deputy Vice-Chancellor (Student Services and Operations) |
| <b>3</b> | <b>Foreign influence and foreign interference</b>   |  |
| 3.1      | The University values the contribution of our foreign collaborations and will be transparent about the nature, level and extent of foreign influence through appropriate disclosure of arrangements with foreign principals.  | Deputy Vice-Chancellor (Student Services and Operations) |
| 3.2      | University activities will manage risks of foreign interference to safeguard academic freedom, University strategy, University controlled intellectual property, the University's values and research partnerships and collaborations consistent with the University's risk appetite.   | Deputy Vice-Chancellor (Student Services and Operations) |
| 3.3      | The University will integrate management of foreign interference and foreign influence risks into research, partnerships, employee relationships and affiliations, and intellectual property.   | Deputy Vice-Chancellor (Student Services and Operations) |
| <b>4</b> | <b>Access to information and disclosures</b>  |  |

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|-----|---|--|
| 4.1 | The University will ensure procedures are in place to support the routine and proactive release of information where it is assessed to be in the public interest. | Deputy Vice-Chancellor (Student Services and Operations) |
| 4.2 | The University will ensure public interest disclosures are investigated and managed in accordance with the principles of natural justice.                         | Deputy Vice-Chancellor (Student Services and Operations) |
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**Definitions and acronyms:** [responsible person](#) | [foreign influence](#) | [whistleblower](#)

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*All University community members must comply with all relevant laws and regulations, University By-Laws, ordinances, policies and procedures.*